



41

Receipt

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

In re application of: Ferree

Serial No.: 10/007,477

Group Art Unit: 3732

Filed: Nov. 8, 2001

Examiner:

For: SPINAL FUSION DEVICES AND ALIGNMENT APPARATUS THEREFORE

## REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above-referenced application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data which is:

- (X) incorrectly entered  
and/or  
( ) omitted

Error in

Correct data

- |     |                        |                 |
|-----|------------------------|-----------------|
| ( ) | Applicant's name       | 1.              |
| ( ) | Applicant's address    | 2.              |
| ( ) | Title                  | 3.              |
| ( ) | Filing date            | 4.              |
| ( ) | Serial number          | 5.              |
| (X) | Attorney Docket No.    | 6. BAF-10703/29 |
| ( ) | Domestic Priority Data | 7.              |

RECEIVED  
MAR 29 2004  
TECHNOLOGY CENTER PG700

3. (Complete the following applicable item A or B)

A. ( ) The correction(s) is/are not due to any error by Applicant and no fee is due.

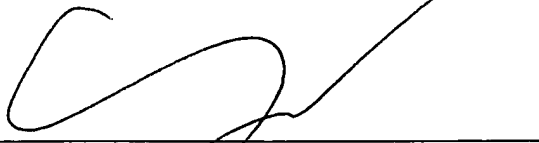
OR

B. (X) At least one of the above corrections is due to Applicant's error.

If there are any fees associated with this making these corrections, the Assistant Commissioner of Patents is hereby authorized to charge deposit account no. 07-1180 for such fee.

Reg. No.: 37,424

Tel. No.: (734) 913-9300



---

*Signature of Attorney*

John G. Posa

Gifford, Krass, Groh, Sprinkle,  
Anderson & Citkowski, P.C.

280 N. Old Woodward Ave., Suite 400

Birmingham, MI 48009

FAX (734) 913-6007

---

**CERTIFICATE OF MAILING (37 CFR 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Date: March 9, 2004



---

Sheryl L. Hammer



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/007,477	11/08/2001	3732	370	BAF-11703/29	7	10	2

John G. Posa  
Gifford Krass, Groh et al  
Suite 400  
280 N. Old Woodward Ave.  
Birmingham, MI 48009



CONFIRMATION NO. 2845

UPDATED FILING RECEIPT



Date Mailed: 03/02/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Bret A. Ferree, Cincinnati, OH;

RECEIVED

MAR 29 2004

## Domestic Priority data as claimed by applicant

This application is a CIP of 09/483,805 01/15/2000 PAT 6,432,107

TECHNOLOGY CENTER R3700

## Foreign Applications

If Required, Foreign Filing License Granted: 01/03/2002

Projected Publication Date: 06/10/2004

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Enhanced surface area spinal fusion devices and alignment apparatus therefor

## Preliminary Class

✓

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).